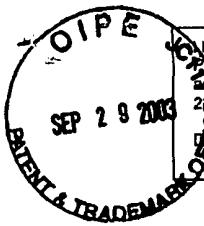




DEP X REF  
#387

Docket No.: LYMF-P01-007

(PATENT)



I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.  
Dated: September 25, 2003 Signature:   
(Mary Jane DiPalma)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Roifman et al.

Application No.: 09/834,728

Group Art Unit: 1626

Filed: April 12, 2001

Examiner: E. Sackey

For: NOVEL COMPOUNDS FOR MODULATING  
CELL PROLIFERATION

### ASSERTION OF SMALL ENTITY STATUS UNDER 37 CFR § 1.27(C) AND REQUEST FOR REFUND UNDER 37 CFR § 1.28

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby notify the Patent Office that Applicant is a small entity as defined within the meaning of 37 CFR § 1.27(a), and existed as a small entity at the time of payment of the fee as herein below described. Accordingly, Applicant is entitled to payment of reduced fees under 37 CFR § 1.27(b).

On July 10, 2003 a three month Petition for Extension of Time fee was paid for the above-referenced patent application in which Applicant was mistakenly identified as a large entity. As a result the United States Patent and Trademark Office was incorrectly authorized to withdraw the large entity fee of \$930.00 instead of the small entity fee of \$465.00. Enclosed herewith is a copy of the Fee Transmittal as submitted on July 10, 2003, on which the incorrectly authorized fee is highlighted for your convenience.

The undersigned authorizes the credit of \$465.00 to our Deposit Account No. 18-1945.

A duplicate copy of this letter is enclosed for that purpose.

Dated: September 25, 2003

Respectfully submitted,

By 

David P. Halstead, Ph.D.  
Registration No.: 44,735

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Attorneys/Agents For Applicant



Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket No. (Optional)  
LYMF-P01-007

In re Application of Roifman et al

Application Number Filed

09/834728 April 12, 2001

For: NOVEL COMPOUNDS FOR MODULATING

Group Art Unit 1626

Examiner E. Sackey

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows  
(check time period desired):

<input type="checkbox"/>	One month (37 CFR 1.17(a)(1))	\$ _____
<input type="checkbox"/>	Two months (37 CFR 1.17(a)(2))	\$ _____
<input checked="" type="checkbox"/>	Three months (37 CFR 1.17(a)(3))	\$ 930.00
<input type="checkbox"/>	Four months (37 CFR 1.17(a)(4))	\$ _____
<input type="checkbox"/>	Five months (37 CFR 1.17(a)(5))	\$ _____

Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ \_\_\_\_\_

A check in the amount of the fee is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 18-1945

I have enclosed a duplicate copy of this sheet.

I am the  applicant/inventor.

assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

attorney or agent of record.

attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a) 44,735

July 10, 2003

Date

Signature

David P. Halstead, Ph.D.

Typed or Printed Name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below



1 forms are submitted.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: July 10, 2003

Signature:

(Mary Jane DiPalma)